

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,647	02/06/2002	Timothy Tianyi Chen	02-40016-US

CONFIRMATION NO. 3268

FORMALITIES LETTER



Thomas J. McWilliams  
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2500 One Liberty Place  
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Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/17/2002 BABRAHA1 00000055 10072647

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP  
02 FC:205 65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

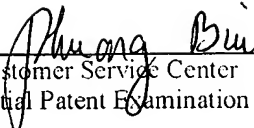
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



05-15-02

Docket No. 02-40016-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of Timothy Tianyi Chen  
Serial No.: 10/072,647  
Filed: February 6, 2002  
For: Method and Apparatus for Targeted Marketing

Examiner: Not Yet Assigned

Attorney Docket No.: 02-40016-US

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Attention: Office of Initial Patent Examination Division

Dear Sir:

This is in response to a Notice to File Missing Parts of Application - Filing Date Granted (PTO 1553) mailed by the U.S. Patent Office on March 8, 2002, the response to which is due May 8, 2002. A separate Petition and Fee for a One Month Extension of Time is being filed concurrently herewith extending the date for response to June 8, 2002.

**EXPRESS MAIL CERTIFICATE (37 CFR 1.10)**

Express Mail Label No. EL 939022085 US

Date of Deposit May 14, 2002

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX MISSING PARTS.

Name: Marie G. Duden

Signature Marie G. Duden

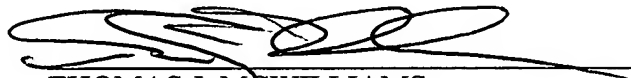
Applicant is entitled to claim small entity status under 37 CFR 1.9(f) and 1.27(c).

The following papers are being submitted herewith:

1. Declaration and Power of Attorney duly signed by Timothy Tianyi Chen;
2. Check in the amount of \$435.00 balance due by applicant claiming small entity status;
3. Petition for One Month Extension of Time;
4. Check in the amount of \$55.00 for the One Month Extension of Time;
5. Copy of Notice to File Missing Parts of Nonprovisional Application; and
6. Self-addressed return postcard.

Please charge any other fee required and/or credit any over charge with respect to this response to Deposit Account No. 18-0586.

Respectfully submitted,



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